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Application Number	09/886,171	RECE	<del>V</del>
Filing Date	June 20, 2001		2002
First Named Inventor	ZARLING, David et al	SEP 17	5005
Group Art Unit	1636	- JE!	<del></del>
Examiner Name	not yet assigned D. L	AMBERTSON	0.460
Attorney Docket Number	A-66914-2/RFT/NBC	-CCH CFNIE	H 100

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			U.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Docume Number Kind Cod (if know	de <sup>2</sup> Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
21	1.	4,873,191	Wagner, et al.	10/1989	
27	2.	4,888,274	Radding, et al.	12/1989	
21	3.	4,950,599	Bertling	08/1990	
21	4.	5,223,414	Zarling, et al.	06/1993	
	5.	5,273,881	Sena, et al.	12/1993	
31	6.	5,264,618	Feigner, et al.	11/1993	COPY OF PAPERS
21	7.	5,416,260	Koller, et al.	05/1995	ORIGINALLY FILED
D1	8.	5,460,941	Camerini-Otero, et al.	10/1995	
DL	9.	5,468,629	Calhoun	11/1995	
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21	11.	5,272,071	Chappel	12/1993	
Del.	12.	5,451,513	Maliga et al.	09/1995	
DL	13.	5,459,072	Mckay et al.	10/1995	
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DL DL	15.	5,487,992	Capecchi et al.	01/1996	
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	17.	5,506,098	Zarling et al.	04/1996	
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Se.	19.	5,565,350	Kmiec	10/1996	
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DL	21.	5,578,461	Sherwin et al.	11/1996	
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<del>D</del> 1	28.	5,763,240	Zarling et al.	06/1998	
	29.	5,948,053	Pati et al:	09/1999	
	30:	6,074,863	Pati et al.	06/3000	

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Application Number	09/886,171	2007
Filing Date	June 20, 2001	SEPI
First Named Inventor	ZARLING, David et al.	
Group Art Unit	1636	CENTER 16U
Examiner Name	-not yet assigned D.L	AMBERTSON
Attorney Docket Number	A-66914-2/RFT/NBC	

		<del></del>			FOREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.	Office	Foreign Patent Docume Kind Co e <sup>3</sup> Number <sup>4</sup> ( <i>if know</i>	ode	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
<b>D</b>	31.	wo	91/19796			12/1991		
De	32.	wo	92/08791			05/1992		
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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Examiner Signature	David Lander tur	Date Considered	11/11/03	

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Filing Date June 20, 2001 ZARLING, David et al. First Named Inventor 1636 **Group Art Unit Examiner Name** not vet assigned **Attorney Docket Number** A-66914-2/RFT/NBC 6 of

**Application Number** 

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Examiner Signature	David	Lambertan	Date Considered	11/11/03	

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control number. Complet if Known Substitute for form 1449A/PTO **Application Number** 09/886,171 INFORMATION DISCLOSURE Filing Date June 20, 2001 STATEMENT BY APPLICANT ZARLING, David et al. First Named Inventor Group Art Unit 1636 A-66914-2/RETINEC TELEPRITATION 2900 (use as many sheets as necessary) Examiner Name

Attorney Docket Number

Sheet FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Date of Publication Foreign Patent Document Where Relevant Kind Code<sup>\*</sup> Cite Name of Patentee or Applicant Passages or Relevant Cited Document Examiner Office<sup>3</sup> Number<sup>4</sup> (if known) of Cited Document Figures Appear No. MM-DD-YYYY Initials\* Jasin, M., et al., "Targeted transgenesis", Proc. Natl. Acad. Sci. USA, 93:8804-8808 (Aug. 1996). 71. Jayasena, V.K., et al., "Complement-stabilized D-loop--RecA-catalyzed Stable Pairing of Linear DNA Molecules at 72. Internal Sites", J. Mol. Biol., 230:1015-1024 (1993). Kido, M., et al., "Escherichia coli RecA Protein Modified with a Nuclear Location Signal Binds to Chromosomes in Living 73. Mammalian Cells", Exper. Cell Res., 198:107-114 (1992). Kim, et al., "Problems Encountered in Detecting a Targeted Gene by the Polymerase Chain Reaction," Gene, 103:227-74. 233 (1991). Kim, et al., "Recombinant Fragment Assay for Gene Targetting Based on the Polymerase Chain Reaction," Nucleic Acids 75. Research, 16(18):8887-8903 (1988). Yoller at al., "Inactivating the β2-microglobulin Locus in Mouse Embryonic Stem Cells by Homologous Recombination," **76**. oc. Natl. Acad. Sci. USA, 86:8932-8935 (1989). Towalczykowski et al., "Biochemistry of Homologous Recombination in Escherichia Coli," Microbiol .Rev. 58:401-465 **77**. (1904). Kowalczykowski et al., "In vitro reconstitution of homologous recombination reactions," Experientia, CH, Birkhauser 78. Verlag. Basel, 50(50): 204-215 (1994) Kucherlapati et al., "Homologous Recombination Between Plasmids in Mammalian Cells can be Enhanced by Treatment 79. of Input DNA," Proc. Natl. Acad. Sci. USA, 81:3153-3157 (1984). Kowalczykowski, S.C., et al., "Homologous Recombination Proteins and their Potential Applications in Gene Targeting 80. Technology, A Gene Targeting, CRC Press: Boca Raton, ed. Manuel A. Vega, Chap. 7:167-210 (1995). Kunzelmann, K., et al., "Gene targeting of CFTR DNA in CF epithelial cells", Gene Therapy, 3:859-867 (1996). 81. Kutyavin, I.V., et al., "Oligonucleotides Containing 2-Aminoadenine and 2-Thiothymine Act as Selectively Binding 82. Complementary Agents", Biochemistry, 35:11170-11176 (1996). Langer et al., "Enzymatic Synthesis of Biotin-Labeled Polynucleotides: Novel Nucleic Acid Affinity Probes," Proc. Natl. 83. Acad. Sci. USA, 78(11):6633-6637 (1981). Ludwig, D.L., et al., "Spontaneous and Induced Homologous Recombination Between lacZ Chromosoma) Direct Repeats 84. in CV-1 Cells", Somane Cell and Molecular Genetics, 20(1):11-25 (1994). Lukhtanov, E.A. et al., "Rapid and Efficient Hybridization-Triggered Crosslinking within a DNA Duplex by an Oligodeoxyribonucleotide Bearing a Conjugated Cyclopropapyrroloindole," Nucleic Acids Research, 24(4):683-687 85. (1996).Matsumura, I., et al., "DNA Shuffling brightens prospects for GFP", Nature BioTech., Vol. 14:366 (Mar. 1996). 86. McCarthy et al., "Sensitive Homologous Recombination Strand-Transfer Assay: Partial Purification of a Drosophila Melanogaster Enzyme and Detection of Sequence Effects on the Strand-Transfer Activity of RecA Protein," Proc. Natl. **87**. Acad. Sci. USA, 85:5854-5858 (1988).

Examiner Signature	David familietten	Date Considered	11/11/03	

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Complete if Known 09/86,171 **Application Number** June 20, 2001 Filing Date

ZARLING, David et al. First Named Inventor Group Art Unit (use as many sheets as necessary)

Attorney Docket Number

1636 <del>-not-yet-accigne</del>d Examiner Name

A-6@14-2/RFT/NBC

FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Date of Publication Foreign Patent Document Where Relevant of Kind Code<sup>\*</sup> Passages or Relevant Name of Patentee or Applicant Examiner Cite Cited Document Number<sup>4</sup> (if known) Office<sup>3</sup> No. of Cited Document Figures Appear Initials\* MM-DD-YYYY McEntee et al., "Initiation of General Recombination Catalyzed in vitro by the RecA Protein of Escherichia Coli," Proc. 88. Natl. Acad. Sci. USA, 76(6):2615-2619 (1979). Meyer Jr., R.B., et al., "Efficient, Specific Cross-Linking and Cleavage of DNA by Stable, Synthetic Complementary 89. Oligodeoxynucleotides", J. of the Amer. Chem. Soc., 111(22):8517-8519 (1989). Orkin et al., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy," 90. National Institutes of Health, 7 December 1995. Pati, W., et al., "Sequence-Specific DNA Targeting", Encyclo. of Cancer, Vol. III:1601-1625 (1997). 91. Podyminogin, M.A., et al., "Sequence-Specific Covalent Modification of DNA by Cross-Linking Oligonucleotides. Catalysis by RecA and Implication for the Mechanism of Synaptic Joint Formation", Biochemistry, 34:13098-13108 92. (1995).Podyminogin, M.A., et al., "RecA-Catalyzed, Sequence-Specific Alkylation of DNA by Cross-Linking Oligonucleotides. 93. Effects of Length and Nonhomologous Base Substitutions", Biochemistry, 35:7267-7274 (1996). Radding, C. "Homologous Pairing and Strand Exchange in Genetic Recombination," Ann. Rev. Genet., 16:405-437 94. (1982).Radding, C. "Helical RecA Nucleoprotein Filaments Midiate Homologous Pairing and Strand Exchange," Biochimica et 95. Biophusica Acta., 1008:131-145 (1989). Rashid, N., et al., "Characterization of a RecA/RAD51 homologue from the hyperthermophilic archaeon Pyrococcus sp. 96. KOD1", Nucleic Acids Research, Vol. 25, No.4:719-726 (1997). Rawls, R., "Hybrid DNA-RNA efficiently repairs gene", C&EN, p.11 (Sep. 1996). 97. Reiss, B., et al., "RecA protein stimulates homologous recombination in plants", Proc. Natl. Acad. Sci. USA, 93:3094-98. 3098 (Apr. 1996). Revet, B.M.J., et al., Homologous DNA Targeting with RecA Protein-coated Short DNA Probes and Electron Microscope 99. Mapping on Linear Duplex Molecules", rep. fr. J. Mol. Biol., 232:779-791 (1993). Roca et al., The RecA Protein: Structure and Function," Biochemistry and Molecular Biology, 25(6):415-455 (1990). 100. Sauer and Henderson, "Targeted Insertion of Exogenous DNA into the Eukaryotic Genome by the Cre Recombinase," 101 New Biologist, 2:441-449 (1990). Sena , E.P., et al., "Targeting in linear DNA duplexes with two complementary probe strands for hybrid stability," Nature 102. Genetics, 3:365-372 (Apr. 1993). Shesely et al., "Correction of a Human β<sup>s</sup>-Globin Gene by Gene Targeting," Proc. Natl. Acad. Sci. USA, 88:4294-4298 103. (1991).

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ZARLING, David et al. 1636 Group Art Unit (use as many sheets as necessary) **Examiner Name** <del>-not yet assigned</del> D. L A-66914-2/RFT/NBC 6 Attorney Docket Number 6 of

**Application Number** 

First Named Inventor

**Filing Date** 

	<u> </u>		FOREIGN PATENT DOCUMEN	TS			
Examiner Initials*	Cite No.1	Foreign Patent Document  Kind Code <sup>2</sup> Office <sup>3</sup> Number <sup>4</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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